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UTILITY PATENT APPLICATION TRANSMITTAL

(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
13786.140Total Pages in this Submission
3**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for invention entitled:

**METHODS AND SYSTEMS FOR DYNAMIC CONVERSION OF OBJECTS FROM ONE FORMAT TYPE
TO ANOTHER FORMAT TYPE BY SELECTIVELY USING AN INTERMEDIARY FORMAT TYPE**

and invented by:

Don Kadyk, Neil Fishman and Marc Seinfeld

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/411,594

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 44 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☒ Cross References to Related Applications (if applicable)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
 - d. ☐ Reference to Microfiche Appendix (if applicable)
 - e. ☒ Background of the Invention
 - f. ☒ Brief Summary of the Invention
 - g. ☒ Brief Description of the Drawings (if drawings filed)
 - h. ☒ Detailed Description
 - i. ☒ Claim(s) as Classified Below
 - j. ☒ Abstract of the Disclosure

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3

Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal Number of Sheets 6
- b. ☐ Informal Number of Sheets _____
4. ☐ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☐ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application,
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☐ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EL624147302US

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13786.140

Total Pages in this Submission
3

Accompanying Application Parts (Continued)

15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. ☐ Additional Enclosures (please identify below):

Fee Calculation and Transmittal

CLAIMS AS FILED

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	41	- 20 =	21	x \$18.00	\$378.00
Indep. Claims	5	- 3 =	2	x \$78.00	\$156.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$690.00
OTHER FEE (specify purpose)					\$0.00
TOTAL FILING FEE					\$1,224.00

- ☐ A check in the amount of _____ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of 1,224.00 as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).



Signature

Dated: June 30, 2000

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CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **Don Kadyk, et al.**

Docket No.

13768.140

Serial No.

Filing Date

Examiner

Group Art Unit

Invention: **METHODS AND SYSTEMS FOR DYNAMIC CONVERSION OF OBJECTS FROM ONE FORMAT TYPE TO ANOTHER FORMAT TYPE BY SELECTIVELY USING AN INTERMEDIARY FORMAT TYPE**

jc844 U.S. PTO

09/609269



06/30/00

I hereby certify that this Transmittal Letter (in duplicate) (*and other documents)
(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on
June 30, 2000
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Mandy Jensen

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* Patent Application (44pgs)
6 Sheets of Formal Drawings
Postcard

BY SELECTIVELY USING AN INTERMEDIARY FORMAT TYPE

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1. Cross-Reference to Related Applications

The present application is a continuation-in-part of United States patent application serial number 09/411,594 filed October 4, 1999, which is incorporated herein by reference in its entirety.

2. The Field of the Invention

The present invention relates to data processing systems. Specifically, the present invention relates to methods and systems for dynamically converting data objects from one format to another format by selectively using an intermediary format during run time.

3. The Prior State of the Art

In the computer age, computer systems play a major role in nearly every aspect of society. Computer systems essentially include a processor which implements a computer program to provide a desired service. In accomplishing the desired service, the computer program includes data that is read and processed as dictated by processor-executable instructions that are also included within the computer program. Even in hardwired logic circuitry that lack a processor, data is input into the hardwired logic to produce a desired result. Thus, the ability to evaluate data is essential to the operation of computer programs and hardwired logic circuitry. In the remainder of this application, the primary focus will be on computer programs handling data though hardwired logic circuitry may also handle data.

Computer programs are configured to operate on data that has a particular format. A “data format” is essentially a set of rules or conventions that define the layout of data fields within a data structure as well as how to interpret the values within those data fields. All

1 conversion from one format to another data format. However, since new data formats are
2 introduced at a rapid pace and since data formats are so numerous, there is often no single
3 data conversion module that can convert data from certain data format into other certain data
4 formats. Therefore, what are desired are methods and systems for dynamically converting
5 data structures from one format to another automatically even when there is no single data
6 conversion module that can perform the data conversion alone.
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1 The present method reduces the amount of data conversion modules that the gateway
2 computer system needs to store in order to be able to handle conversions from a fixed
3 number of origin data formats into a fixed number of destination data formats. For example,
4 suppose that the gateway computer system is to handle the conversion of 1000 origin data
5 formats into 1000 destination formats. In this case, the gateway computer system would
6 need to handle hundreds of thousands of different types of data conversions. Requiring a
7 dedicated conversion module for each possible data conversion possibility would expend
8 large amounts of memory. Allowing a sequence of two or more data conversion modules to
9 perform conversions significantly reduces the number of different data conversion modules
10 required to be available for supporting all possible data conversions.

11 Also, using a sequence of modules rather than a single module to perform
12 conversions dramatically simplifies the process of enabling the gateway computer system to
13 handle conversions to and from a new data format. If a single data conversion module was
14 to handle conversion to the new format for each possible origin data format, 1000 new data
15 conversion modules would be required, one for each possible origin data format. However,
16 the present invention only requires that there be one data conversion module that converts
17 from a data format that the gateway computer system knows how to generate into the new
18 data format. That conversion module would then be used as the last module in the sequence
19 of modules that perform the conversion.

20 Great benefit may be derived from the present invention when communicating
21 between two networks which have devices that produce and recognize numerous data
22 formats. These networks would result in the need to convert from numerous origin data
23 format into numerous destination data formats when sending data structures from devices on
24 one network to devices on another network. Since there is little standardization in data

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Figure 6 illustrates a data structure of a table that represents the capabilities of each of the format conversion modules;

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Docket No. 13768.140

Figure 1 illustrates a conventional computer 120 that includes components and data processing capabilities that may be used to implement embodiments of the invention. Computer 120 is a general purpose computing device that includes a processing unit 121, a system memory 122, and a system bus 123 that couples various system components including the system memory 122 to the processing unit 121. The system bus 123 may be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. The system memory includes read only memory (ROM) 124 and random access memory (RAM) 125. A basic input/output system (BIOS) 126, containing the basic routines that help transfer

Program code means comprising one or more program modules may be stored on the hard disk 139, magnetic disk 129, optical disk 131, ROM 124 or RAM 125, including an operating system 135, one or more application programs 136, other program modules 137, and program data 138. A user may enter commands and information into the computer 120 through keyboard 140, pointing device 142, or other input devices (not shown), such as a microphone, joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 121 through a serial port interface 146 coupled to system bus 123. Alternatively, the input devices may be connected by other interfaces, such as a parallel port, a game port or a universal serial bus (USB). A monitor

1 147 or another display device is also connected to system bus 123 via an interface, such as
2 video adapter 148. In addition to the monitor, personal computers typically include other
3 peripheral output devices (not shown), such as speakers and printers.

4 The computer 120 may operate in a networked environment using logical
5 connections to one or more remote computers, such as remote computers 149a and 149b.
6 Remote computers 149a and 149b may each be another personal computer, a server, a
7 router, a network PC, a peer device or other common network node, and typically includes
8 many or all of the elements described above relative to the computer 120, although only
9 memory storage devices 150a and 150b and their associated application programs 136a and
10 136b have been illustrated in Figure 1. The logical connections depicted in Figure 1 include
11 a local area network (LAN) 151 and a wide area network (WAN) 152 that are presented here
12 by way of example and not limitation. Such networking environments are commonplace in
13 office-wide or enterprise-wide computer networks, intranets and the Internet.

14 When used in a LAN networking environment, the computer 120 is connected to the
15 local network 151 through a network interface or adapter 153. When used in a WAN
16 networking environment, the computer 120 may include, for example, a modem 154 or a
17 wireless link. The modem 154, which may be internal or external, is connected to the
18 system bus 123 via the serial port interface 146. In a networked environment, program
19 modules depicted relative to the computer 120, or portions thereof, may be stored in the
20 remote memory storage device. It will be appreciated that the network connections shown
21 are exemplary and other means for establishing communications over wide area network
22 152 may be used.

23 Figure 2 shows a schematic diagram of a scalable environment 200 that is suitable
24 for the present invention in which a message 280 is transmitted from an originating

The originating network 220 receives the message 280 from the originating device 210 using a protocol compatible with the originating network 220. The originating network 220 may be any medium capable of transmitting the message 280 whether the network be wired, all wireless, or partially wireless. The originating network 220 may be a wide area network, a local area network, or a combination of both and use any protocol such as, for example, HyperText Transport Protocol (HTTP). In another example of the means for transmitting the message from the originating device 210 to the gateway computer system 240, originating device 210 and the gateway computer system 240 are both disposed within a common device such as a common server computer system. In this case, the originating network 220 is located internal to the common server computer system.

The gateway 240 transmits the reformatted message 280 using a protocol compatible with the particular remote network described, such as 260a or 260b. The remote networks may be any network capable of transmitting the message 280 to the remote devices whether all wired, all wireless, or partially wireless. The remote network may be a wide area network, a local area network, or a combination of both and may use any protocol such as,

After the message 280 is transmitted over the appropriate one of the remote networks 260, the message is received by the destination remote device 270. Accordingly, embodiments within the scope of the present invention include means for receiving the message 280. This means is shown in Figure 2 as one of remote devices 270. The remote devices 270 may be any wireless device such as a cellular phone with or without alphanumeric text receiving capability, a text pager, a lap top computer, a hand held

computer, or any other wireless device. The remote devices 270 may also be a “wired” device such as a desk top computer, a conventional telephone, a computer server, or any other wired device. In this description and in the claims, a “wired” device includes any device that is not wireless and that is capable of receiving an electronic message.

Figure 3 is a more detailed schematic diagram of the gateway computer system 240 with accompanying queues 230 and 250 of Figure 2. The gateway 240 may represent gateway 240a and/or gateway 240b of Figure 2. An originating message handler 304 dequeues the message 280 from the originating queue. In the case, where the message is sent from one of the computer system 210 to a computer system 270, the originating queue will be the originating queue 230 of Figure 3. The originating message handler 304 feeds the message 280 to a message processor 306. Devices and modules for reading data from a queue and writing the message to another unit are well-known to those of ordinary skilled in the art.

The message processor 306 uses the locator module 308 to access information in the mass memory 310. The message processor 306 interfaces with format conversion modules A-F in a format conversion module library 314 through a standard interface 312. The message processor 306 may also use the standard interface 312 to communicate with other modules such as encryption modules A-F in an encryption module library 316, authentication modules A-F in an authentication modules library 318 and other modules A-F in an other modules library 320. The message processor 306 uses a network driver interface 324 to interface with one of the network driver modules A-F from the network driver library 326. Note that although interface 312 is shown in box form, the interface really represents a standardized structure for calling modules and retrieving information. These calling functions may be performed using an Application Program Interface or API.

Each of these acts and steps will now be described in further detail. First, the gateway computer system determines the original data format of the data structure within the message (step 410). The original data structure may be determined by reading the content type field 281 within the message 280. Typically, the content type field would identify the

Figure 5 illustrates a data structure that correlates addresses to data formats and other registration data. The address field 510 includes the address which may be in the form of a phone number, Uniform Resource Locator, or other addressing mechanism. In this example, suppose that the destination address is 1-800-555-1212 which represents the phone number of a destination mobile phone. The locator module 308 may consult the corresponding data format field 520 of the data structure to determine that the mobile phone only recognizes data in the "CONTACT3" data format. The locator module 308 then returns this resulting destination data format to the message processor 308 thus completing the act of determining the original and destination data formats (step 410). New devices may register with the gateway computer system 240 when those new devices are to receive message from and transmit messages to the gateway computer system 240. The new device may provide its

For example, suppose that the locator module 308 was given the task of converting a data structure from the “vCard” format into the “CONTACT3” data format. There is no single format conversion module that is capable of such as conversion on its own. However, there are format conversion modules that can convert from V-Card to CONTACT1, from vCard to CONTACT2, from CONTACT 1 to CONTACT2, and from CONTACT2 to CONTACT3. In this example, there are two sequences that satisfy the conversion. One

Subsequently, the remainder of the sequence of format conversion modules is executed in series to convert the data structure from the intermediary data format into the destination data format (step 450). For example, in the sequence of Figure 7A, format conversion modules 3 and 4 are executed in series to convert the data structure from

The present invention enables the new data format to be introduced by crafting just one format conversion module that converts from an intermediary data format that the computer system knows how to generate into the new data format. For example, suppose that the gateway computer system 240 could convert from vCard to CONTACT1, but not CONTACT2, the introduction of a new data format CONTACT2 would require only a single format conversion module that converts from CONTACT1 to CONTACT2. The

Level 3 in the OSI model is often referred to as the network layer. This layer adds functionality for the delivery of data from source node to destination node even though

Figure 8 schematically illustrates the translation functions performed by the gateway computer system 240 as the gateway computer system forwards data from device 801 to device 802. The translation functions performed by the gateway computer system generally correspond to levels 3, 4, 5 and 6 of the OSI model. The modules that enable such translation include network driver modules N, system modules S, protocol modules P, and

1 content translation modules A. The system module S typically performs billing and logging
2 information.

3 Figure 9 illustrates an embodiment of a translation chain 900 traversed by data in
4 order to be delivered from the device 801 to the device 802. First, the data traversed up
5 through the OSI layers. The data is received by a network module N that is compatible with
6 the network from which the message is received. The systems module S then logs this
7 action. The packet is then provided to the protocol module P where it is received according
8 to the protocol that was used to transmit the message to the gateway computer system. The
9 system module S then logs the receipt of the packet at the protocol module P. Then, the
10 content translation modules A perform reformatting of the data as described above, and any
11 desired encryption or compression. The system module S again logs this action.

12 The data is then ready to traverse back down the OSI layers for delivery to the
13 destination device 802. In so doing, the locator module is consulted to determine the
14 appropriate protocol and network modules that are to be used when communicating
15 messages to the device 802. The data then passes to the protocol module P that is
16 compatible with delivery to the destination device 802. The system module S then logs this
17 action. Then, the data passes to the network module N that is compatible with delivery to
18 the destination device 802. The network module is then used to transmit the message to the
19 destination device 802.

20 Thus, the gateway computer system is useful in dynamic content translation as well
21 as dynamic protocol and network translation.

22 The present invention may be embodied in other specific forms without departing
23 from its spirit or essential characteristics. The described embodiments are to be considered
24 in all respects only as illustrative and not restrictive. The scope of the invention is,

1 therefore, indicated by the appended claims rather than by the foregoing description. All
2 changes which come within the meaning and range of equivalency of the claims are to be
3 embraced within their scope.

4 What is claimed and desired to be secured by United States Letters Patent is:
5

an act of identifying a sequence of format conversion modules that, when executed in sequence, converts the data structure from the first data format into the second data format;

an act of converting the data structure from the intermediate data format into the second data format using at least the second format conversion module in the sequence of data conversion modules.

an act of identifying the first data format as received from the originating computer system; and

3. A method in accordance with Claim 2, wherein the act of identifying the first format comprises the following:

- Page 31 -

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4. A method in accordance with Claim 2, wherein the act of identifying the second data format comprises the following:

an act of reading a destination address field associated with the data structure;

an act of querying a database for a data format recognized by the remote computer system that is represented by the destination address within the destination address field; and

an act of determining that the resulting data format returned from database is the second data format.

5. A method in accordance with Claim 1, wherein the remote computer system comprises a wireless device.

6. A method in accordance with Claim 5, wherein the originating computer system comprises a server computer system.

7. A method in accordance with Claim 1, wherein the originating computer system comprises a wireless device.

8. A method in accordance with Claim 7, wherein the remote computer system comprises a server computer system.

9. A method in accordance with Claim 1, wherein the originating and remote computer system both comprise wireless devices.

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10. A method in accordance with Claim 1, wherein the originating and remote computer systems both comprise server computer systems.

11. A method in accordance with Claim 1, further comprising the following:
an act of receiving the data structure using a first protocol module that is compatible with receiving data from the originating computer system; and
an act of determining a second protocol module that is compatible with delivering data to the remote computer system; and
an act of transmitting the converted data structure to the remote computer system using the second protocol module.

12. A method in accordance with Claim 1, further comprising the following:
an act of receiving the data structure using a first network driver module that is compatible with receiving data from the originating computer system; and
an act of determining a second network driver module that is compatible with delivering data to the remote computer system; and
an act of transmitting the converted data structure to the remote computer system using the second network driver module.

an act of converting the data structure from the intermediate data format into the second data format using at least the second format conversion module in the sequence of format conversion modules.

15. A computer-program produce in accordance with Claim 13, wherein the computer-readable medium further comprises computer-executable instructions for performing the following:

an act of determining a second protocol module that is compatible with
delivering data to the remote computer system; and

19. A computer-program product in accordance with Claim 13, further comprising computer-executable instructions for performing the following:

an act of determining a second network driver module that is compatible with

delivering data to the remote computer system; and

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20. In a gateway computer system coupled between at least one originating computer system and at least one remote computer system, a method of the gateway computer system dynamically converting a data structure in a first format as received at the gateway computer system from an originating computer system into a second data format compatible with a remote computer system, the method comprising the following:

an act of identifying a sequence of format conversion modules that, when executed in sequence, converts the data structure from the first data format into the second data format; and

a step for converting the data structure from the first data format into the second data format using the sequence of format conversion modules.

21. A method in accordance with Claim 20, wherein the step for converting the data structure from the first data format into the second data format comprises the following:

an act of converting the data structure from the first data format into an intermediate data format using the first format conversion module in the sequence of data conversion modules; and

an act of converting the data structure from the intermediate data format into the second data format using at least the second format conversion module in the sequence of data conversion modules.

22. A method in accordance with Claim 20, further comprising the following:

an act of identifying the first data format as received from the originating computer system; and

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an act of identifying the second data format compatible with the remote computer system.

23. A method in accordance with Claim 22, wherein the act of identifying the first data format comprises the following:

an act of reading a content type field associated with the data structure.

24. A method in accordance with Claim 22, wherein the act of identifying the second data format comprises the following:

an act of reading a destination address field associated with the data structure;

an act of querying a database for a data format recognized by the remote computer system that is represented by the destination address within the destination address field; and

an act of determining that the resulting data format returned from database is the second data format.

25. A method in accordance with Claim 22, wherein the remote computer system comprises a wireless device.

26. A method in accordance with Claim 25, wherein the originating computer system comprises a server computer system.

27. A method in accordance with Claim 20, wherein the originating computer system comprises a wireless device.

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28. A method in accordance with Claim 27, wherein the remote computer system comprises a server computer system.

29. A method in accordance with Claim 20, wherein the originating and remote computer system both comprise wireless devices.

30. A method in accordance with Claim 20, wherein the originating and remote computer systems both comprise server computer systems.

31. A method in accordance with Claim 20, further comprising the following:
an act of receiving the data structure using a first protocol module that is compatible with receiving data from the originating computer system; and
an act of determining a second protocol module that is compatible with delivering data to the remote computer system; and
an act of transmitting the converted data structure to the remote computer system using the second protocol module.

32. A method in accordance with Claim 20, further comprising the following:
an act of receiving the data structure using a first network driver module that is compatible with receiving data from the originating computer system; and
an act of determining a second network driver module that is compatible with delivering data to the remote computer system; and

1 33. A computer program product for use a gateway computer system coupled
2 between at least one originating computer system and at least one remote computer system,
3 the computer program product for implementing a method of dynamically converting a data
4 structure in a first format as received from an originating computer system into a second
5 data format compatible with a remote computer system, the computer program product
6 comprising a computer-readable medium having computer-executable instructions for
7 performing the following:

8 an act of identifying a sequence of format conversion modules that, when
9 executed in sequence, converts the data structure from the first data format into the
10 second data format; and

11 a step for converting the data structure from the first data format into the
12 second data format using the sequence of format conversion modules.

13
14 34. A computer-program product in accordance with Claim 33, wherein the
15 computer-readable medium comprises a physical storage medium.
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38. The gateway computer system in accordance with Claim 35, wherein the originating computer system comprises a wireless device.

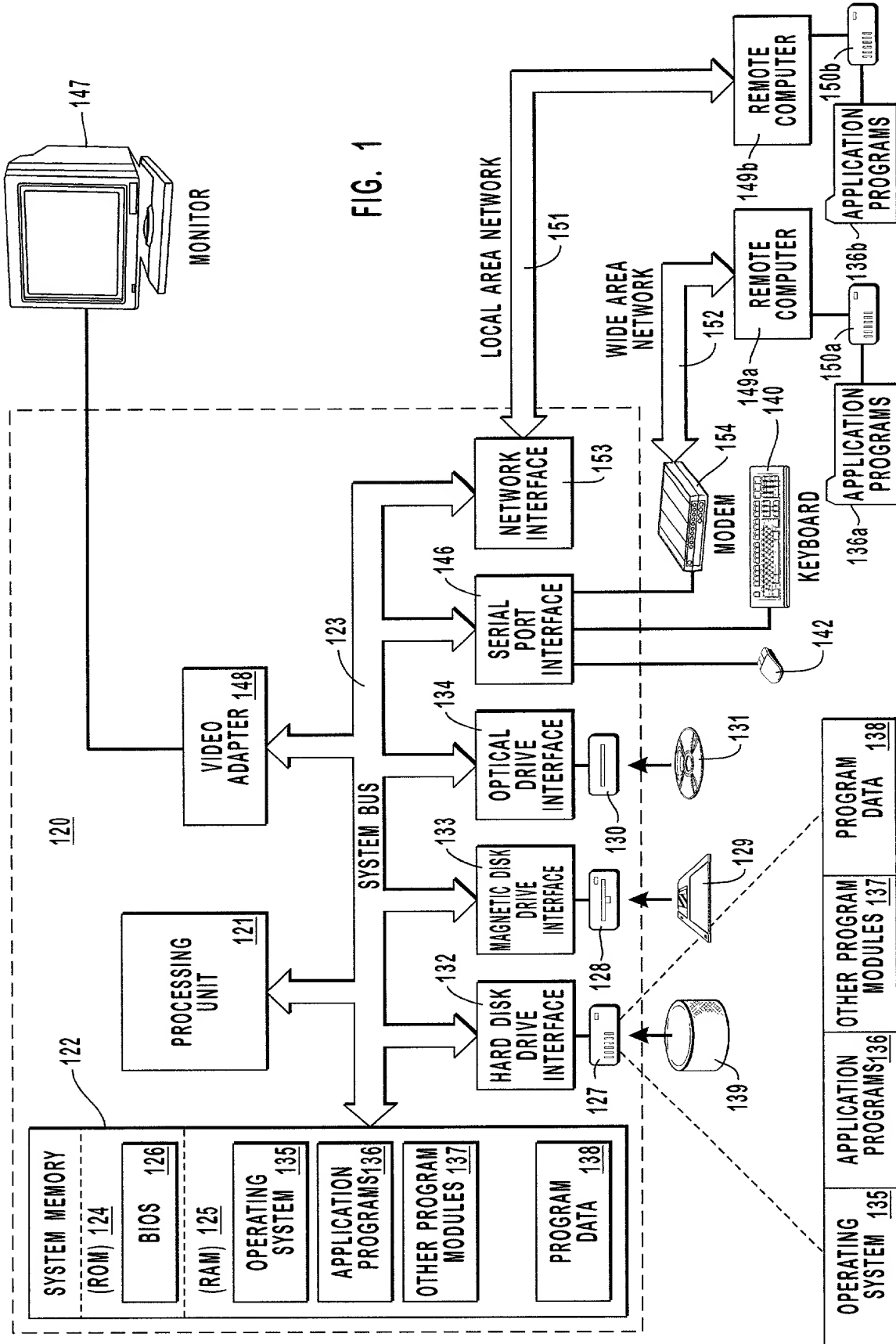
39. The gateway computer system in accordance with Claim 38, wherein the remote computer system comprises a server computer system.

40. The gateway computer system in accordance with Claim 35, wherein the originating and remote computer systems both comprise a wireless device.

41. The gateway computer system in accordance with Claim 35, wherein the originating and remote computer systems both comprise a server computer system.

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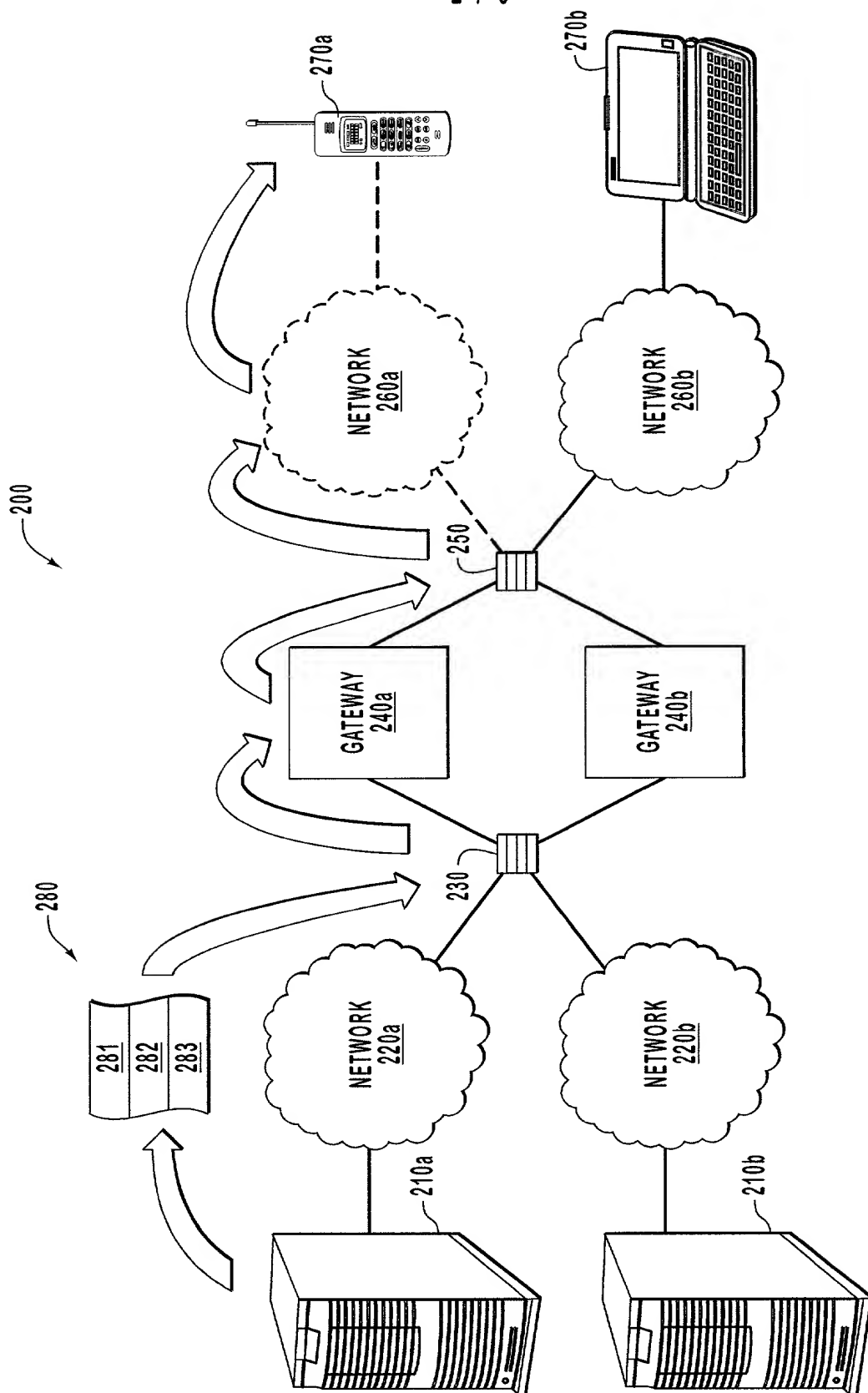


FIG. 2

FIG. 5FIG. 6

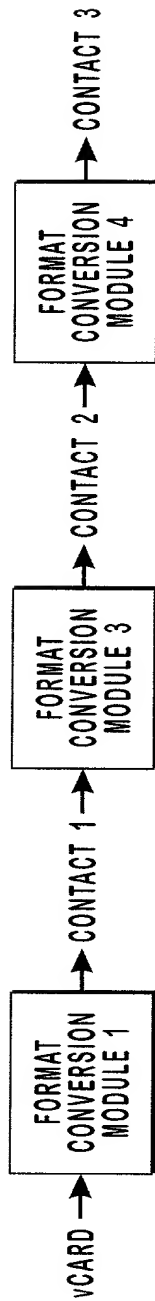


FIG. 7A



FIG. 7B

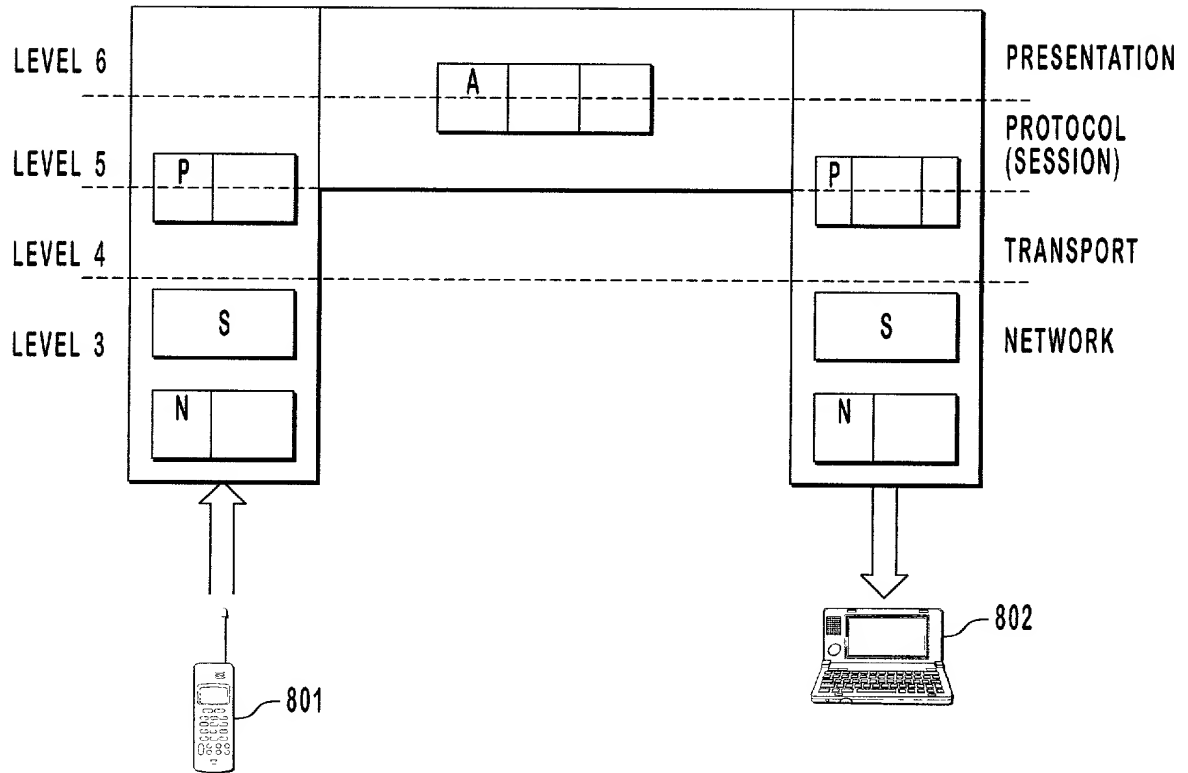


FIG. 8

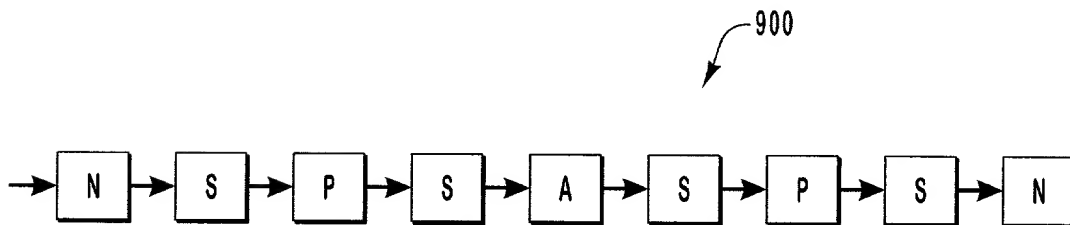


FIG. 9